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H.H.

PATENT  
Attorney Docket No. 5259-0018-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Peter Schultz, et al )  
Serial No.: 09/845,664 ) Group Art Unit: Unknown  
Filed: April 30, 2001 ) Examiner: Not Assigned  
For: Method and Apparatus for )  
Creating a Refractive Gradient )  
in Glass Using Laser Energy )

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find:

- 1) Supplemental Information Disclosure Statement - 2 pgs.
- 2) Form 1449 - 1 pg. + 2 references

for the above-referenced patent application.

If there are any fees due under 37 C.F.R. §§ 1.16 or 1.17 which are not enclosed herewith, including any fees required for an extension of time under 37 C.F.R. § 1.136, please charge such fees to our Deposit Account No. 06-0916.

Date

August 7, 2001

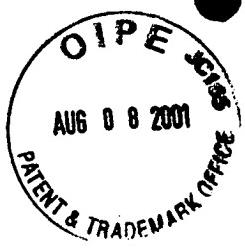
By:

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PATENT  
Customer Number 22,852  
Attorney Docket No. 5259.0018-03

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Refractive Gradient in Glass Using )  
Laser Energy )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. These documents were cited in an international search report in a related international patent application.

Applicants respectfully request that the Examiner consider the listed documents and indicate that it was considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited document does not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
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Dated: August 7, 2001

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**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

Atty. Docket No.	5259.0018-03	AUG 8 2001 PATENT & TRADEMARK OFFICE	Serial No.	09/845,664		
Applicant	Peter Schultz, et al.					
Filing Date	April 30, 2001		Group:	Unknown		
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1,796,401	3/17/31	Sample, L.			
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	446,484	4/30/36	GB			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
Examiner	Date Considered					
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce					